SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] ENSLEY CRAIG H			2. Date of Event Requiring Statement (Month/Day/Year) 12/14/2023	3. Issuer Name and Ticker or Trading Symbol <u>Aeluma, Inc.</u> [ALMU]					
(Last)	(Last) (First) (Middle)			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
27 CASTILIAN DRIVE					Director Officer (give title below)	10% Owner Other (specify below)	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) GOLETA	СА	93117			,	,	X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)							
			Table I - Non-Deriva	tive Se	ecurities Beneficial	ly Owned			
1. Title of Security (Instr. 4) 2					nt of Securities	3. Ownership	4. Nature of Indirect Beneficial Ownership (Instr.		

Beneficially Owned (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) 5 Common Stock 16,667 D

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

itle of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		Conversion or Exercise	Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Indirect (I) Derivative (Instr. 5) Security		

Explanation of Responses:

/s/ Craig Ensley

<u>01/18/2024</u> Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL